



Attorney Docket No. T9947

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: THOMAS F. CALTON et al.)
TITLE: KNEE BALANCING BLOCK)
SERIAL NO.: 10/618,521) INFORMATION DISCLOSURE
FILED: July 11, 2003) STATEMENT UNDER 37 C.F.R.
EXAMINER: _____)
ART UNIT: 3731) \$ 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449, which contains a list of patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully invokes the Patent Office's obligation under 37 C.F.R. § 1.97 to consider these references and make them of record in the above-captioned application. While no representation is made that any of these references may be "prior art" within the meaning of

Certificate of Deposit Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the 2 day of April, 2004.


Karl R. Cannon
Attorney Registration No. 36,468
Attorney for Applicant

that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously cited by or submitted to the Office, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) that was not previously submitted to, or cited by, the Patent Office is also enclosed.

Please charge any additional fees or credit any overpayment to
Deposit Account No. 50-0836.

DATED this 2 day of April, 2004.

Respectfully submitted,



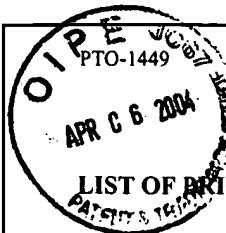
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KRC/kdl

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LIST OF PRIOR ART CITED BY APPLICANT	ATTY. DOCKET NO. T9947	SERIAL NO. 10/618,521
	APPLICANT Thomas F. Calton et al.	
	FILING DATE July 11, 2003	GROUP 3731

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,646,729	Mar. 3, 1987	Kenna et al.			
	AB	4,653,488	Mar. 31, 1987	Kenna			
	AC	4,787,383	Nov. 29, 1988	Kenna			
	AD	4,825,857	May 2, 1989	Kenna			
	AE	4,841,975	Jun. 27, 1989	Woolson			
	AF	5,234,433	Aug. 10, 1993	Bert et al.			
	AG	5,342,367	Aug. 30, 1994	Ferrante et al.			
	AH	5,364,401	Nov. 15, 1994	Ferrante et al.			
	AI	5,417,694	May 23, 1995	Marik et al.			
	AJ	5,464,406	Nov. 7, 1995	Ritter et al.			
	AK	5,514,139	May 7, 1996	Goldstein et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	<u>TRANSLATION</u>	
							YES	NO

OTHER PRIOR ART (Including Author, Title, Volume and/or Name of Publication, Relevant Pages and Date [as available])

EXAMINER	DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. T9947	SERIAL NO. 10/618,521		
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EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AL	5,514,140	May 7, 1996	Lackey			
	AM	5,540,696	Jul. 30, 1996	Booth, Jr. et al.			
	AN	5,597,379	Jan. 28, 1997	Haines et al.			
	AO	5,611,802	Mar. 18, 1997	Samuelson et al.			
	AP	5,624,444	Apr. 29, 1997	Wixon et al.			
	AQ	5,643,272	Jul. 1, 1997	Haines et al.			
	AR	5,649,929	Jul. 22, 1997	Callaway			
	AS	5,683,397	Nov. 4, 1997	Vendrely et al.			
	AT	5,688,280	Nov. 18, 1997	Booth, Jr. et al.			
	AU	5,688,282	Nov. 18, 1997	Baron et al.			
	AV	5,735,856	Apr. 7, 1998	McCue et al.			
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	<u>TRANSLATION</u> <u>YES</u> <u>NO</u>
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	AW	5,749,876	May 12, 1998	Duvillier et al.			
	AX	5,810,827	Sep. 22, 1998	Haines et al.			
	AY	5,810,831	Sep. 22, 1998	D'Antonio			
	AZ	5,830,216	Nov. 3, 1998	Insall et al.			
	BA	5,911,723	Jun. 15, 1999	Ashby et al.			
	BB	5,925,049	Jul. 20, 1999	Gustilo et al.			
	BC	5,938,665	Aug. 17, 1999	Martin			
	BD	6,013,081	Jan. 11, 2000	Burkinshaw et al.			
	BE	6,024,746	Feb. 15, 2000	Katz			
	BF	6,056,754	May 2, 2000	Haines et al.			
	BG	6,059,788	May 9, 2000	Katz			
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	BH	6,077,270	Jun. 20, 2000	Katz			
	BI	6,258,096	Jul. 10, 2001	Seki			
	BJ	6,290,704	Sep. 18, 2001	Burkinshaw et al.			
	BK	6,296,646	Oct. 2, 2001	Williamson			
	BL	6,458,135	Oct. 1, 2002	Harwin et al.			
	BM	6,478,799	Nov. 12, 2002	Williamson			
	BN	6,602,258	Aug. 5, 2003	Katz			
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